

# **APPLICATION FOR UNITED STATES PATENT**

**in the name of**

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**for**

**Schema Driven Management of a Component-Based  
Application**

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## **SCHEMA DRIVEN MANAGEMENT OF A COMPONENT-BASED APPLICATION**

### **BACKGROUND**

This invention relates to software development techniques involving the use of components in a component-based application.

Component-based applications are built from building blocks of software called components. Each component corresponds to a function or set of functions that may be used and reused in different component-based applications. Instead of creating the software applications from scratch, component-based applications are created by combining these existing components, and possibly new components developed specifically for the application. For example, client/server applications can be created using separate components to process information on a server, transmit information from the server over a network to a client, and then interpret or interact with the information on the client. The component-based applications are easier to maintain in part because the components can be developed, analyzed, and tested in individual units.

The components must agree on certain protocols and communicate information to each other in a predetermined manner in the component-based application. Existing infrastructure technologies for object-oriented components to exchange information include Common Object Resource Broker Architecture (CORBA) and Microsoft's Component Object Model (COM) and Distributed Component Object Model (DCOM). Developers use these object-oriented technologies along with customized code to facilitate the communication and collaboration between the components. The object-oriented technologies provide a conduit for passing information between objects locally and over networks. Customized code created by the developers allows the components to gather information about other components and the component-based application being created. In conventional component-based systems, it is this customized code within each component that allows different components to work together.

Unfortunately, it is often difficult to modify one component used in a component-based application without modifying many other components. If the modified component processes information differently, other components that subsequently use the information may also require modification. In some instances, it may take too much development time to modify the many different components in a component-based application. Further, if the

developer is an OEM (Original Equipment Manufacturer), the company supplying the components may not want to disclose all the source code to the OEM. The OEM is left with using the components in a component-based application exactly as they are provided or entering into a complex licensing and development contract with the supplying company.

5 This requires the OEMs to work closely with companies supplying component-based applications to develop value-added components that meet the needs of the OEMs product line. Software is not modified without efforts of the company supplying the source-code and the OEMs development team. As a result, value-added enhancements made to existing component-based applications come with long development times and high costs.

## 10 SUMMARY

In one aspect of the present invention, a method and system is provided for organizing components to perform a service used by a component-based application. The operations for organizing the components includes selecting a role name symbolizing the service that the component-based application requests, grouping components together to  
15 perform the service wherein each component includes interfaces for communicating information with other components, and defining an assembly data-structure having the role name and metadata information identifying each component in the group of components and the connections used to connect the interfaces in each component with the other components used in performing the requested task. The component-based application can be modified to  
20 perform different services by adding or replacing such assembly data-structures and their components.

In another aspect of the present invention, a method and system for providing a component-based application access to a service is provided. The processing of a service includes receiving a request from the component-based application, or from a loaded  
25 component, that specifies a role name symbolizing the task, accessing an assembly data-structure corresponding to the role name and having metadata information specifying a number of components used to perform the service and interfaces used to connect each component with other components, wherein the interface facilitates communicating information between components, loading a component identified in the assembly data-  
30 structure into an area for processing, connecting an interface associated with the loaded

component to other components according to the meta-data information in the assembly data-structure, and performing the requested service using the loaded component to process data and the interface to pass information from the loaded component to other components.

An additional aspect of the present invention also includes methods and systems for integrating components together to perform an existing service when one of the components is modified. The associated operations for integrating these components together include providing an assembly data-structure having metadata information specifying a number of components that work together to perform an existing service and a number of interfaces used to connect the components together and facilitate communication between the components, modifying one of the components, wherein the modified component alters the processing of information and renders the modified component and information incompatible with the other components associated with the existing task, creating a new component to filter information that passes through an interface connected to the modified component, and modifying the assembly data-structure to specify the new component and to indicate that the new component filter the information passing through the modified component, wherein the modified component and new component produce filtered information compatible with other components used by the existing task.

The details of one or more embodiments of the invention are set forth in the accompanying drawings and the description below. Other features of the invention will be apparent from the description and drawings, and from the claims.

## DESCRIPTION OF DRAWINGS

FIG. 1A is a block diagram that depicts the use of a filter component developed to interface between two existing components;

FIG. 1B is a block diagram representation of a component-based application used to process a page description language (PDL);

FIG. 2 is a block diagram representation of a software infrastructure for processing and assembling components for use in a component-based application;

FIG. 3 is a flow-chart of the operations used to create an assembly data-structure for assembling components into a component-based application;

FIG. 4 is a flow-chart of the operations used load and unload a component-based application for providing a service;

FIG. 5 is a flow-chart of the operations used to add a new component to an assembly data-structure that filters information from an existing component in the assembly data-structure; and

FIG. 6 is a block diagram representation of a system implementing a component-based application of the present invention.

## DETAILED DESCRIPTION

A method and system is described for assembling components into component-based applications according to an assembly data-structure. Rather than customizing code in each component to load other components, the assembly data-structure determines the components required to perform a service or role requested by a component-based application or a loaded assembly and the relationships between the components. To modify a component-based application, assembly data-structures and their components can be added or replaced.

FIG. 1A is a block diagram illustrating the use of a filter component developed to interface between two existing components. FIG. 1A includes an existing component identified as component<sub>A</sub> 102A, a new component identified as component<sub>X</sub> 104A and another existing component referred to as component<sub>B</sub> 106A connected together by interface 108A and interface 110A. Using component<sub>X</sub> 104A facilitates communication between the other surrounding components.

In one implementation, component<sub>A</sub> 102A and component<sub>B</sub> 106A are the initial components of a component-based application. To modify the data processed by these initial components, the new component identified as component<sub>X</sub> 104A is placed between the existing components. This allows the developer to modify the information without directly modifying the actual code in the components. This is useful when a component is modified or when a new component is developed that may be incompatible with other components in a component-based application. For example, assume component<sub>A</sub> 102A and component<sub>B</sub> 106A cannot communicate with each other directly. A developer uses component<sub>X</sub> 104A to translate and filter information between the two components thereby allowing them to communicate. Component<sub>X</sub> 104A is considered for this purposes to be a server of interface

108A, also referred to as an ingoing interface, and a client of interface 110A, also referred to as an outgoing interface.

This method of using components to filter information and connecting components is useful in developing large component-based applications that change over time. For example, FIG. 1B is a block diagram representation of a component-based application used to process a page description language (PDL). In one implementation, this PDL language can be the PostScript PDL developed by Adobe Systems Incorporated of Santa Clara. The component-based application 100B in FIG. 1B includes a PDL 102B, a graphic machine reducer 104B, a rendering module 106B, a display list 110B that works in parallel with rendering module 106B and provides information to frame buffer 108B, banded separation module 110B, and banded non-separation module 114B. Each of these modules work together closely in the processing data in PDL 102B into one of many media types 116.

Over time, it may become necessary to change or replace components in component-based application 100B. For example, Original Equipment Manufactures (OEM) may want to replace or modify certain modules such as rendering module 106B to provide different functionality or accommodate a product produced by the OEM. In the past, this would require replacing rendering module 106B and then modifying or replacing other modules in component-based application 100B to accommodate different data formats and different information being processed. For example, callers (clients) of the modified component may need to be modified to invoke the new implementation, which may involve code changes or re-linking the application. This process is avoided, however, by replacing rendering module 106B and, if necessary, connecting it with a filtering component described in association with component<sub>x</sub> 104A in FIG. 1A. An assembly manager and assembly data-structure, as described in further detail below, determine which components are included and how they are connected together for processing.

FIG. 2 is a block diagram representation of a software infrastructure for processing and assembling components for use in a component-based application. The infrastructure and other software in FIG.2 includes a component-based application 202, an assembly manager 204, a component database 206, and an assembly data-structure 205 that drives the assembling of components by assembly manager 204.

Component-based application 202 can be any application built from components of software or that invokes other applications built from components of software. Typically, component-based application 202 includes applications that have many discrete functions replaced or modified over time. For example, the system for processing a PDL in FIG. 1B is one component-based application that may benefit from the present invention because components can be modified and replaced without modifying and replacing every component. Many other applications could similarly benefit from the technology.

Assembly manager 204 performs the run-time assembly of components according to information in assembly data-structure 205. Using information in assembly data-structure 205, assembly manager performs late-binding of components to a component-based application. This allows the component-based application to be customized by inserting filtering components in the application or replacing components upon execution.

In one implementation, the assembly data-structure is generated in the Extensible Markup Language (XML) and is referred to as an assembly schema. One or more assembly data-structures are stored in an assembly schema database 206. Each assembly data-structure 205 uses a metadata syntax to describe the components assembly manager 204 loads to perform a service and the relationship each component assumes in this process.

When a component is loaded, the definition for the component is mapped to a factory that manufactures the specified object instance. The component definitions define which interfaces the components use and supply. The component definitions can have a cardinality attribute, which defines how many instances of the component object can be made. In one implementation, a component can have a cardinality of "1," indicating that only one object instance can be made, or a cardinality of "N," indicating that multiple object instances can be made.

The assembly data structure 205 also includes metadata describing interfaces that components use to communicate information with each other. In the Component Object Model (COM) architecture, interfaces are strongly-typed groupings of functions that serve as the binary standard through which components communicate. COM components perform reference counting to track when they are in use. Any client of an interface is responsible for incrementing the reference counter when a pointer to the interface is created and for decrementing the reference when the pointer to the interface is discarded. Interfaces can be

used to communicate between components described in the same assembly or with components described in different assemblies. The Interfaces Served table and Interfaces Cliented Table associated with assembly manager 204 in FIG. 1 keeps track of the different interfaces between the components. As part of the component loading process, assembly  
5 manager 204 checks to ensure that interfaces in the Interfaces Served and Interfaces Cliented table are not left unconnected.

Component database 207 is a repository of components that assembly manager 204 combines together to provide a specified service. The components in the component database 207 are available to component-based application 202 from a local storage area or  
10 over a network connection on-demand or cached according to historical usage information. Initially, the components in the component database 207 are the components used in the original release of the application. Additional components are stored in the assembly and component database 206 as the original component-based application is enhanced, modified, and altered by OEMs and others. For example, the component database 207 may include  
15 multiple rendering modules for performing rendering according to several different specifications to give OEM manufacturers alternative rendering options.

In one implementation, assembly data-structure 205 includes metadata describing a component 210, a component type 208, a preconnection table 212, a ServerOf table 214, and a ClientOf table 216. Component 210 in FIG. 2 represents one component used in a  
20 component-based application and can be developed using a variety of programming languages including Java, C, or C++. Interfaces associated with component 210 receive information from and transmit information to other components. Developers determine how interfaces are used by component 210 to communicate with other components depending on the services being performed and the type of information being exchanged between  
25 components for the particular task. In general, component 210 is characterized by the interfaces it implements and interfaces it uses from other components as a client.

The assembly manager 204 is designed to work with a variety of object models, including, for example, Component Object Model (COM) as specified by Microsoft, Corporation of Redmond, Washington, Common Object Request Broker Architecture (CORBA) a public object-model specified by Object Management Group (OMG), and Bravo  
30 Interface Builder (BIB) as specified by Adobe Systems Incorporated. In one implementation,



the assembly manager operates on a base object model architecture and can support components based on other object model architectures that are adapted to interface with the base object model components. For example, if the assembly manager is based on the COM architecture, each component definition specifies a loader, which is responsible for returning an interface to non-COM components that look like COM components to the rest of the system.

Preconnection table 212 includes a list of interfaces in use by components already loaded in memory. Assembly manager 204 loads and connects new components to these interfaces as specified in ServerOf table 214 and ClientOf table 216 in assembly data-structure 205. More interface entries are made into preconnection table 212 as additional components are loaded by assembly manager 204. ServerOf table 214 specifies that the particular interface acts to connect the component as a server to another component. ClientOf table 216 specifies that the particular interface acts to connect the component as a client to another component.

In one implementation, the interfaces in the ServerOf table 214 have cardinality attributes. A cardinality of "1" indicates that the component can have one client connected on that interface, and a cardinality of "N" indicates that multiple clients can be connected on that interface. For interfaces in the ClientOf table 216, a cardinality of "1" indicates that the component can be connected to one server on that interface, and a cardinality of "N" indicates that the component can be connected to multiple servers on that interface.

Assembly manager 202 and assembly data-structure 205 are used together to perform numerous different operations with components and applications. These include loading and unloading components to provide a service specified by a component-based application, creating and registering components and assemblies in databases 206 and 207, and using additional components to filter and replace existing components in a component-based application.

FIG. 3 provides the operations for creating an assembly data-structure and registering it in an assembly and component database. Initially, an assembly identifier label is created to identify a particular assembly data-structure (302). In one implementation, a component-based application includes a caller 220, which may be the component 210, that uses the assembly identifier when requesting that an assembly be loaded to provide a particular

service. In other implementations, the caller requests a role name, which in turn is associated with the assembly identifier and assembly data-structure. Typically, a developer specifies a role name or an assembly identifier describing a certain service to be provided.

The assembly data-structure includes a listing of components and the interfaces used to communicate between the components (304). Components are grouped together in the assembly data-structure to provide a certain service. These components can also be included in other assembly data-structures to perform other services. The developer indicates the connections between each component in the assembly data-structure depending on the service required by that component. Any component referenced in the assembly data-structure must also be registered in the component database (306). The assembly manager can only load components if they are registered before they are requested by a component-based application. Once all the components are registered, the assembly data-structure is registered in the assembly schema database (308). The assembly data-structure has the role name and metadata information for identifying each component and the interfaces used to connect the different components together to provide a service. In one implementation, the components are contained in a local assembly and component database but in alternative implementation, components specified in an assembly data-structure can be stored locally and/or in remote databases.

FIG. 4 is a flow-chart of the operations used to load and unload a component-based application for providing a service. At some point during execution, a component-based application, or a loaded component, requests execution of a service (402). For example, the component-based application may process more than one PDL. The application includes a sniffer 222 that examines a file to be processed and determines the PDL for that file. The sniffer examines the contents of a stream of data from the file or an extension of the filename to determine the PDL. The sniffer returns a string corresponding to the PDL to the caller 220. The string may be an assembly name or role name associated with an assembly used to process that PDL. The caller uses the string to invoke the assembly manager.

The assembly manager receives the string and determines which assembly data-structure should be loaded. In some cases, the assembly manager receives a request directly from the application for an assembly data-structure having the proper components. In the former case, the assembly manager locates and loads the assembly data-structure created for

processing the requested service (404). If there are other components already loaded, the component-based application can provide to the assembly manager the interfaces already loaded and in use by other components (406), subject to the cardinality attributes of the components and interfaces. The assembly manager connects the interfaces already loaded with interfaces of the components in the assembly data-structure (408).

Once the new components are properly loaded, the assembly manager provides the component-based application with an entry point to execute the components and perform the requested service (410). In an alternative implementation, the components are executed and the results are passed by the assembly manager to the component-based application that makes the request.

The component-based application requests that the components be unloaded after they are executed and results are obtained (412). Unloading these components includes removing these components from an area such as memory where they are executed and returning any other resources they may have used during execution. In addition, the components in the assembly are disconnected from the interfaces they were connected to, and thus from other components (414). This readies the interfaces to be connected by other components associated with a different requested service. Finally, the assembly data structure is also removed from memory or storage (416) thus completing the loading and unloading of components during execution.

FIG. 5 is a flow-chart of the operations used to add a new component to an assembly data-structure that filters information from an existing component in the assembly data-structure. The new component filters information produced when an existing component in the assembly data-structure is modified or replaced. Initially, a developer creates a new component capable of filtering the information from the existing components (502). The information is filtered to maintain compatibility with other components in the assembly data-structure that have not been modified or replaced. This new component is added to the assembly data-structure in addition to the existing components already defined in the assembly data-structure (504). As needed, the new component is connected to various components by specifying interface connections in the assembly data-structure (506). Finally, the assembly data-structure is stored in the assembly and component database for future reference (508).

A new assembly can reference an existing assembly as a sub-assembly, essentially treating the sub-assembly as a component. The new assembly data-structure identifies interfaces between components and the sub-assembly.

If the new assembly is created to replace the existing assembly (sub-assembly), the role name previously mapped to the existing assembly may be remapped to the new assembly.

FIG. 6 is a block diagram representation of a system implementing a component-based application of the present invention. This example implementation includes a display device 600, an input-output device 602, an assembly and component database 604, a network interface 606, a memory 608 capable of storing information and processes, a secondary storage area 610 that includes a CD-ROM or backup device, and a processor 612.

Display device 600 is any device used to display information to a user related to the processing of information on the system. Input-output device 602 includes devices used to provided and receive information to the computer such as keyboards, pointer devices (e.g., mouse), speakers and microphones. Assembly and component database 604 stores components and assembly data-structures previously described. Network interface 606 provides network connectivity between this system and other systems connected to this system over a network, which may include a Local Area Network (LAN), Wide Area Network (WAN), subnets, an intranet, or the Internet, or a combination of such networks.

Over a period of time, memory 608 may include a number of processes including a component-based application 614, a role 616, an assembly manager 618, assemblies 620, components 622, interfaces 624, object model 626, and operating system 628. Component-based application 614 requests role 616 which assembly manager 618 receives and processes. As previously described, assembly manager responds by loading assemblies 620, components 622, and interfaces 624 to perform the service. Object model 626 is used by assembly manager to manipulate components 622 while they are processing information. Operating system 628 can be Windows, Unix, or a real-time operating system that manages computing resources.

A number of embodiments of the invention have been described. Nevertheless, it will be understood that various modifications may be made without departing from the spirit and

